

**CS 683 Emerging Technologies**  
**Spring Semester, 2003**  
**Doc 10 SAX, DOM, XSLT**  
**Contents**

Creating XML Applications .....	3
Document Object Model (DOM) .....	8
Simple API for XML (SAX) .....	9
Displaying XML.....	21
Hello World Example.....	22
CD Catalog Example.....	27

**References**

*Learning XML*, Erik Ray, O'Reilly, 2001

<http://www.saxproject.org/>

<http://www.w3.org/DOM/>

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## **Using XML**

Creating XML Applications

Transform XML to display it

Distributed Computing with XML

## **Creating XML Applications**

Computer program that uses XML

Sample XML applications

uPortal Channels

Microsoft Word

The application usually must

Parse XML

Generate XML

## XML Parsers

A number of XML parsers are available for use in applications

Sun's Java based XML Parser (JAXP)

[http://java.sun.com/xml/xml\\_jaxp.html](http://java.sun.com/xml/xml_jaxp.html)

Included in JDK 1.4

Xerces from Apache

<http://xml.apache.org/>

Parsers by Jim Clark

Expat

Open source

Fast parser written in C

<http://www.jclark.com/xml/expat.html>

XP

Java based parser

<http://www.jclark.com/xml/xp/index.html>

VisualWorks Smalltalk includes an XML Parser

## Example of Using Sun's Java XML Parser

### File sample.xml

```
<?xml version="1.0" ?>
<greetings>
  <from>
    <name>Roger</name>
  </from>
  <to>
    <name>World</name>
  </to>
  <message>
    Hi
  </message>
</greetings>
```

## XMLParseExample.java

```
import javax.xml.parsers.*;
import org.xml.sax.*;
import java.io.*;
import org.w3c.dom.*;

public class Test {
    public static void main(String argv[] throws Exception {
        DocumentBuilderFactory factory;
        factory = DocumentBuilderFactory.newInstance();

        DocumentBuilder builder = factory.newDocumentBuilder();

        Document document;
        document = builder.parse( new File("sample.xml") );

        NodeList names = document.getElementsByTagName("name");
        printNodes( names);
        NodeList message = document.getElementsByTagName("message");
        printNodes( message);
    }

    public static void printNodes(NodeList list) {
        for (int k = 0; k < list.getLength(); k++ ) {
            Node tag = list.item(k);
            Node child = tag.getFirstChild();
            System.out.print(tag.getNodeName() + "\t");
            System.out.println( child.getNodeValue());
        }
    }
}
```

### Output

```
name Roger
name World
message
  Hi
```

## Document Object Model (DOM)

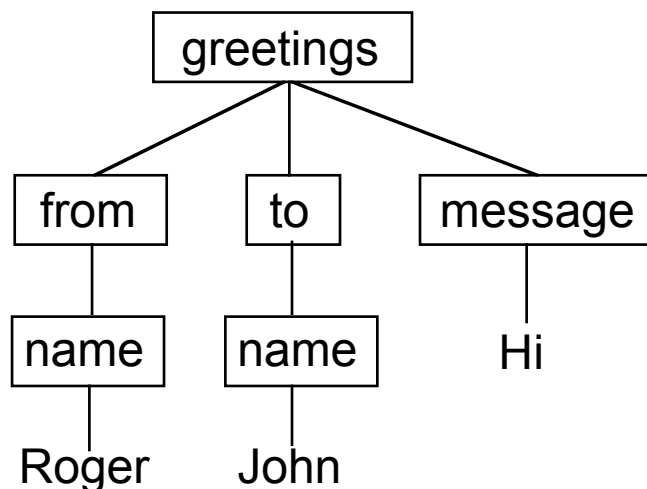
The statement:

```
builder.parse( new File("sample.xml") );
```

Converts:

```
<greetings>  
  <from>  
    <name>Roger</name>  
  </from>  
  <to>  
    <name>World</name>  
  </to>  
  <message>  
    Hi  
  </message>  
</greetings>
```

into the tree structure



## **Document Object Model (DOM)**

DOM is a W3C standard

The DOM is a platform- and language-neutral interface

Allows programs to

- Access XML documents
- Modify XML documents
- Create XML documents



## **Simple API for XML (SAX)**

For some applications DOM may be overly complex

SAX is a simpler event driven API for parsing XML

SAX is common in Java XML parsers,

SAX version 1 & 2 exists

Not a W3C standard

## Basic SAX Operation

Your Sax handler class either

- Extends `org.xml.sax.helpers.DefaultHandler`
- Implements `org.xml.sax.ContentHandler`

`DefaultHandler`

- Implements interface `org.xml.sax.ContentHandler`
- Methods implement a default behavior

You register you handler object with the parser

Parse calls back to your object with information about the xml document

## Some Basic Methods in DefaultHandler

void **startDocument** ()

Receive notification of the beginning of the document.

void **endDocument** ()

Receive notification of the end of the document.

void **startElement** (String uri, String localName, String qName, Attributes attributes)

Receive notification of the start of an element.

void **endElement** (String uri, String localName, String qName)

Receive notification of the end of an element.

void **characters** (char[] ch, int start, int length)

Receive notification of character data inside an element.

void **ignorableWhitespace** (char[] ch, int start, int length)

Receive notification of ignorable whitespace in element content.

void **error** (SAXParseException e)

Receive notification of a recoverable parser error.

void **fatalError** (SAXParseException e)

Report a fatal XML parsing error.

void **warning** (SAXParseException e)

Receive notification of a parser warning.

## SAX 1 Example

```
import org.xml.sax.helpers.DefaultHandler;
import org.xml.sax.Attributes;
import javax.xml.parsers.SAXParserFactory;
import javax.xml.parsers.SAXParser;
import java.util.Vector;
import java.io.File;

public class SAXDriverExample extends DefaultHandler
{
    private Vector root;
    String currentTag;

    public static void main(String argv[])
    {
        SAXDriverExample handler = new SAXDriverExample();

        // Use the default (non-validating) parser
        SAXParserFactory factory = SAXParserFactory.newInstance();
        try
        {
            SAXParser saxParser = factory.newSAXParser();
            saxParser.parse( new File("sample"), handler );
        }
        catch (Throwable t)
        {
            t.printStackTrace();
        }
        System.out.println( handler.root());
    }
}
```

```
public void startDocument()
{
    root = new Vector();
}
```

```
public void characters(char[] ch, int start, int length)
{
    root.addElement(currentTag + "(" + new String(ch,start,+length) +
        ")[" + start+":"+ length+"]\n");
}
```

```
public void startElement(String uri, String localName, String qName,
    Attributes attributes)
{
    currentTag = qName;
}
```

```
public void endElement(String uri, String localName, String qName
)
{
    currentTag = "None";
}
```

```
public Vector root()
{
    return root;
}
}
```

## Sample Run

### Sample File

```
<?xml version="1.0" ?>
<Sample>
  <a>hi</a>
  <b>mom</b>
  <b>how are</b>
  <a>you</a>
</Sample>
```

### Output

```
[Sample(
  ) [9:2]
, a(hi) [14:2]
, None(
  ) [20:2]
, b(mom) [25:3]
, None(
  ) [32:2]
, b(how are) [37:7]
, None(
  ) [48:2]
, a(you) [53:3]
, None(
) [60:1]
]
```

## Validating Example

### Modification to Main

```
public static void main(String argv[])
{
    SAXDriverExample handler = new SAXDriverExample();

    SAXParserFactory factory =
SAXParserFactory.newInstance();
    factory.setValidating(true);
    try
    {
        SAXParser saxParser = factory.newSAXParser();
        saxParser.parse( new File("sample"), handler );
    }
    catch (Throwable t)
    {
        t.printStackTrace();
    }
    System.out.println( handler.root());
}
```

## Sample

```
<?xml version="1.0" ?>
<!DOCTYPE Sample [
<!ELEMENT Sample ( ( a* , b*)*)>
<!ELEMENT a (#PCDATA)>
<!ELEMENT b (#PCDATA)> ]>
```

```
<Sample>
  <a>hi</a>
  <b>mom</b>
  <b>how are</b>
  <a>you</a>
</Sample>
```

## Output

```
[a(hi)[117:2]
, b(mom)[128:3]
, b(how are)[140:7]
, a(you)[156:3]
]
```



## SAX 2 Example

```
import org.xml.sax.helpers.DefaultHandler;
import org.xml.sax.helpers.XMLReaderFactory;
import org.xml.sax.InputSource;
import org.xml.sax.XMLReader;
import org.xml.sax.Attributes;

import java.util.Vector;
import java.io.FileReader;

public class SAXDriverExample extends DefaultHandler
{
    private Vector root;
    String currentTag;

    public static void main(String argv[])
    {
        SAXDriverExample handler = new SAXDriverExample();
        try
        {
            XMLReader saxParser =
XMLReaderFactory.createXMLReader();
            saxParser.setContentHandler( handler);
            saxParser.parse( new InputSource(new FileReader("sample")) );
        }
        catch (Throwable t)
        {
            t.printStackTrace();
        }
        System.out.println( handler.root());
    }
}
```

Rest of program is the same

## Running the Example

```
java -Dorg.xml.sax.driver=org.apache.crimson.parser.XMLReaderImpl  
    SAXDriverExample
```

### Output

```
[a(hi)[139:2]  
, b(mom)[150:3]  
, b(how are)[162:7]  
, a(you)[178:3]  
]
```

The `org.xml.sax.driver` property can be set inside your program

## Some XML Parsers for Java

<code>gnu.xml.aelfred2.SAXDriver</code>	Lightweight non-validating parser; Free Software
<code>gnu.xml.aelfred2.XmlReader</code>	Optionally validates; Free Software
<code>oracle.xml.parser.v2.SAXParser</code>	Optionally validates; proprietary
<code>org.apache.crimson.parser.XMLReaderImpl</code>	Optionally validates; used in JDK 1.4; Open Source
<code>org.apache.xerces.parsers.SAXParser</code>	Optionally validates; Open Source

The following has links to the above XML parsers

<http://www.saxproject.org/?selected=links>

## Validating Example

```
public static void main(String argv[])
{
    SAXDriverExample handler = new SAXDriverExample();
    try
    {
        XMLReader saxParser =
XMLReaderFactory.createXMLReader();
        saxParser.setFeature("http://xml.org/sax/features/validation",
true);
        saxParser.setContentHandler( handler);
        saxParser.parse( new InputSource(new FileReader("sample")) );
    }
    catch (Throwable t)
    {
        t.printStackTrace();
    }
    System.out.println( handler.root());
}
```

## **Displaying XML Adding Presentation Layer to XML**

### Cascading Style Sheets (CSS)

An early presentation layer for Web browsers

Formats and displays XML documents

### Extensible Stylesheet Language for Transformation (XSLT)

Presentation layer for XML

Subset of XSL

Used uPortal

Based on transformations rules

A rule matches XML elements

Each rule specifies output in XML

### XHTML

A version of HTML that is also XML

## Hello World Example File hello.xml

```
<?xml version="1.0"?>  
<?xml-stylesheet type="text/xsl" href="hello.xsl"?>  
<greetings>Hello World</greetings>
```

## File hello.xsl

```
<xsl:stylesheet xmlns:xsl="http://www.w3.org/TR/WD-xsl">  
<xsl:template match="/">  
  <html>  
    <body>  
      <center><b>  
        <xsl:value-of select="greetings"/>  
      </b></center>  
    </body>  
  </html>  
</xsl:template>  
</xsl:stylesheet>
```

## Instructions

Place both files in the same directory  
Open hello.xml with IE 5

**Displayed In IE**  
**Hello World**

## Hello World Example Explained File hello.xml

```
<?xml version="1.0"?>  
<?xml-stylesheet type="text/xsl" href="hello.xsl"?>  
<greetings>Hello World</greetings>
```

```
<?xml-stylesheet type="text/xsl" href="hello.xsl"?>
```

A processing instruction

Tells the application to apply a stylesheet

Gives the type of style sheet: xsl

Gives the location of the style

## Hello World Example Explained File hello.xsl

```
<xsl:stylesheet xmlns:xsl="http://www.w3.org/TR/WD-xsl">
```

```
xmlns:xsl="http://www.w3.org/TR/WD-xsl"
```

Declares an XML namespace

Namespaces avoid name collisions

```
xsl:stylesheet
```

The name of the tag

The tag "stylesheet" in the xsl name space



## Hello World Example Explained File hello.xsl

```
<xsl:template match="/">
  <html>
  <body>
    <center><b>
      <xsl:value-of select="greetings"/>
    </b></center>
  </body>
</html>
</xsl:template>
```

```
<xsl:template match="/">
```

Define a rule that matches all elements

Contents of rule is the output

```
<xsl:value-of select="greetings"/>
```

Replaced with the contents of tag "greetings"

## Hello World Example Explained Output of Transformation

```
<html>  
  <body>  
    <center>  
      <b>Hello World</b>  
    </center>  
  </body>  
</html>
```

## CD Catalog Example Using a Loop

### File catalog.xml

```
<?xml version="1.0" ?>
<?xml-stylesheet type="text/xsl" href="cd.xsl"?>
<CATALOG>
  <CD>
    <TITLE>Empire Burlesque</TITLE>
    <ARTIST>Bob Dylan</ARTIST>
    <COUNTRY>USA</COUNTRY>
    <COMPANY>Columbia</COMPANY>
    <PRICE>10.90</PRICE>
    <YEAR>1985</YEAR>
  </CD>

  <CD>
    <TITLE>Hide your heart</TITLE>
    <ARTIST>Bonnie Tyler</ARTIST>
    <COUNTRY>UK</COUNTRY>
    <COMPANY>CBS Records</COMPANY>
    <PRICE>9.90</PRICE>
    <YEAR>1988</YEAR>
  </CD>
</CATALOG>
```

## CD Catalog Example

### File cd.xsl

```
<?xml version="1.0"?>
<xsl:stylesheet xmlns:xsl="http://www.w3.org/TR/WD-xsl">
<xsl:template match="/">
  <html>
  <body>
    <table border="2">
      <tr>
        <th>Title</th>
        <th>Artist</th>
      </tr>
      <xsl:for-each select="CATALOG/CD">
        <tr>
          <td><xsl:value-of select="TITLE"/></td>
          <td><xsl:value-of select="ARTIST"/></td>
        </tr>
      </xsl:for-each>
    </table>
  </body>
</html>
</xsl:template>
</xsl:stylesheet>
```

### Result Displayed in IE 5

Title	Artist
Empire Burlesque	Bob Dylan
Hide your heart	Bonnie Tyler

## **Where to process XSLT**

Examples used IE to process XSLT

But

Not all browsers support XSLT

IE was a bit slow in processing the XSLT

Use Web server to process XSLT

Sun's Java API for XML can be used to process XSLT

Web server sends HTML to browser